



JPW

PATENT

Attorney Docket No. 02860.0791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Tohru Kimura et al.) Group Art Unit: 2655
)
Application No.: 10/826,524) Examiner: Unassigned
)
Filed: April 19, 2004)
)
For: OPTICAL PICKUP DEVICE,) Confirmation No.: 1958
OPTICAL INFORMATION)
RECORDING AND)
REPRODUCING APPARATUS,)
EXPANDER LENS, COUPLING)
LENS AND CHROMATIC)
ABERRATION CORRECTING)
ELEMENT)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, an English language version of a search report from the European Patent Office in a corresponding application citing these documents and setting forth the relevance thereof is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

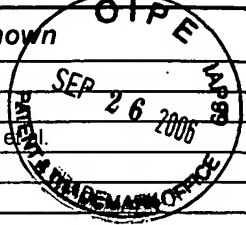
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: September 26, 2006

By: 
David W. Hill
Reg. No. 28,220

IDS Form PTO/SB/08: Substitute for form 1449A/PTO			Complete if Known 	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Application Number	10/826,524
			Filing Date	April 19, 2004
			First Named Inventor	Tohru Kimura et al.
			Art Unit	2655
			Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number		2860.0791	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials ¹	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-6,449,095 B1	09/10/2002	Ohtaki et al.	
		US-2003/0053223 A1	03/20/2003	Takeuchi et al.	
		US-2002/0118427 A1	08/29/2002	Hendriks et al.	
		US-2003/0067686 A1	04/10/2003	Shiono et al.	
		US-			
		US-			
		US-			

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 1 304 689 A2	04/23/2003	Tohru Kimura et al.		
		EP 1 223 576 A2	07/17/2002	Chong-sam Chung et al.		
		EP 1 117 096 A2	07/18/2001	Tae-kyung Kim et al.		
		EP 1 271 496 A2	01/02/2003	Tohru Kimura		
		EP 1 022 731 A2	07/26/2000	Norikazu Arai et al.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.